A MEASURING ARGUMENT FOR FINITE PERMUTATION GROUPS

BY

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ABSTRACT

Let G be a finite transitive permutation group on a finite set S . Let A be a nonempty subset of S and denote the pointwise stabilizer of A in G by $C_G(A)$. Our main result is the following inequality: $[G : C_G(A)] \geq$ $|G|$ ^[A]/^[S].

I. Introduction

Let G be a finite transitive group of permutations of a finite set S of size n . If A is a nonempty subset of S of size k, let $C_G(A)$ denote the pointwise stabilizer of A in G. It is well known that $[G : C_G(A)] \leq n(n-1)\cdots(n-k+1)$. However, as far as we know, no lower bound for $[G : C_G(A)]$ had been described in the literature. The main purpose of this paper is to prove that

$$
[G : C_G(A)] \ge |G|^{|A|/|S|}.
$$

The methods of this paper are variations of those used by A. Chermak and A. Delgado in their paper *A measuring argument for* finite *groups* [1]. While their paper dealt with finite groups G acting on a finite group H , we expand the discussion to the case of finite groups G acting on a finite set S .

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II. The basic definitions and results

Let G be a finite group acting on a finite set S and let $A(S)$ denote the set of all nonempty subsets of S. If $A \in \mathcal{A}(S)$, then $C_G(A)$ denotes the pointwise stabilizer of A , which will be referred to as a stabilizer of G on S . Finally, let $\alpha > 1$ be a real number.

Definition 1: We define:

$$
m_{\alpha} = m_{\alpha}(G, S) = \max \{ \alpha^{|A|} |C_G(A)| | A \in \mathcal{A}(S) \};
$$

$$
\alpha M = \alpha M(G, S) = \{ A \in \mathcal{A}(S) | \alpha^{|A|} |C_G(A)| = m_{\alpha} \};
$$

 $\alpha M^* =$ the set of maximal members of αM , under inclusion;

 $\alpha M_* =$ the set of minimal members of αM , under inclusion.

Our first observation is

LEMMA 1: The subsets αM , αM^* and αM_* of $\mathcal{A}(S)$ are closed under the action *of G.*

Proof: Obvious. ■

The following lemma contains some basic properties of αM . In this lemma we use the notation $C_G(\emptyset) = G$.

LEMMA 2: Let $A, B \in \alpha M$ and suppose that either $A \cap B \neq \emptyset$ or $m_{\alpha} \geq |G|$. *Then* the *following statements hold:*

- (1) $A \cup B \in \alpha M$;
- (2) $C_G(A \cap B) = C_G(A)C_G(B);$
- (3) if $A \cap B \neq \emptyset$, then $A \cap B \in \alpha M$;
- (4) *if* $A \cap B = \emptyset$, then $m_{\alpha} = |G|$.

Proof: Since $A \in \alpha M$, we have

$$
(2-1) \qquad \alpha^{|A|} |C_G(A)| \ge \alpha^{|A \cup B|} |C_G(A \cup B)| = \frac{\alpha^{|A|} \alpha^{|B|}}{\alpha^{|A \cap B|}} |C_G(A \cup B)|
$$

which, after reduction, yields

$$
(2-2) \qquad \frac{\alpha^{|B|}}{\alpha^{|A \cap B|}} \le \frac{|C_G(A)|}{|C_G(A) \cap C_G(B)|} = \frac{|C_G(A)C_G(B)|}{|C_G(B)|} \le \frac{|C_G(A \cap B)|}{|C_G(B)|}
$$

with $|C_G(A \cap B)| = |G|$ if $A \cap B = \emptyset$.

Suppose, first, that $A \cap B \neq \emptyset$. Then it follows from (2-2) that $\alpha^{|B|}|C_G(B)| \leq$ $\alpha^{|A \cap B|}$ [C_G(A \cap B)], and since $B \in \alpha M$, the equality must hold. This implies that $A \cap B \in \alpha M$ and equalities holds throughout the expressions (2-1) and (2-2). In particular, as $A \in \alpha M$, the equality in (2-1) implies that $A \cup B \in \alpha M$ and the equalities in (2-2) imply that $C_G(A \cap B) = C_G(A)C_G(B)$ holds. We have shown that (1) , (2) and (3) hold in this case, as claimed.

Suppose, now, that $A \cap B = \emptyset$. In this case $\alpha^{|B|} |C_G(B)| = m_\alpha \geq |G|$ and $(2-2)$ implies $\alpha^{|B|}|C_G(B)| \leq |G|$. Hence $m_\alpha = |G|$ and consequently equalities hold throughout the expressions (2-1) and (2-2). In particular, $A \cup B \in \alpha M$ and $G = C_G(A)C_G(B)$ hold. We have shown that (1), (2) and (4) hold in this case, as claimed.

The proof of the lemma is complete.

Lemmas 1 and 2 yield the following results about the subsets αM^* and αM_* of αM .

LEMMA 3: If $A \in \alpha M^* \cup \alpha M_*$, then A is a block for G on S.

Proof: Since $A \in \alpha M$, $A^g \in \alpha M$ for every $g \in G$. If $A \cap A^g \neq \emptyset$ for some $g \in G$, then by Lemma 2, $A \cup A^g \in \alpha M$ and $A \cap A^g \in \alpha M$. But $A \cap A^g \subseteq A \subseteq A \cup A^g$ and $A \in \alpha M^* \cup \alpha M_*$, hence either $A = A \cup A^g$ or $A = A \cap A^g$. Consequently $A = A^g$, which implies that A is a block.

LEMMA 4:

- (1) If $m_{\alpha} \geq |G|$, then $\alpha M^* = \{U\}$, where $U =_{def} \cup \alpha M$. Moreover, $U^g = U$ *for all* $g \in G$ *and* $C_G(U) \triangleleft G$.
- (2) If $m_{\alpha} > |G|$, then $\alpha M_* = \{I\}$, where $I =_{def} \cap \alpha M$. Moreover, $I^g = I$ for *all* $g \in G$ *and* $C_G(I) \triangleleft G$ *.*

Proof: If $m_{\alpha} \geq |G|$, then it follows by Lemma 2(1) that $U \in \alpha M$ and consequently $\alpha M^* = \{U\}$. If $g \in G$, then by Lemma 1, $U^g = U$ and hence $(C_G(U))^g = C_G(U^g) = C_G(U)$. The proof of (1) is complete.

If $m_{\alpha} > |G|$, then by Lemma 2(4), $A \cap B \neq \emptyset$ for all $A, B \in \alpha M$ and consequently, by Lemma 2(3), $I \in \alpha M$. Hence $\alpha M_* = \{I\}$ and by Lemma 1, $I^g = I$ for all $g \in G$. This implies that $(C_G(I))^g = C_G(I^g) = C_G(I)$ for all $g \in G$, and the proof of (2) is complete.

Remark: Clearly we can choose α so that either of the conditions in Lemma 4 holds. In particular, if $\alpha \geq |G|^{1/|S|}$, then $\alpha^{|S|} \geq |G|$ and we get $m_{\alpha} \geq$ $\alpha^{|S|}|C_G(S)| \ge |G|.$ Similarly, $\alpha > |G|^{1/|S|}$ implies that $m_\alpha > |G|.$

We now turn our attention to finite transitive permutation groups G , acting on finite sets S satisfying $\alpha^{|S|} \ge |G|$. We denote by T the set of triples (G, S, α)

satisfying these conditions. In this case $C_G(S) = 1$ and $m_\alpha \ge \alpha^{|S|} \ge |G|$. We shall also use the notation U and I introduced in Lemma 4.

LEMMA 5: Let $(G, S, \alpha) \in \mathcal{T}$. Then $S \in \alpha M$ and $m_{\alpha} = \alpha^{|S|} \geq |G|$. If $\alpha^{|S|} > |G|$, then $\alpha M = \{S\}$ and $m_{\alpha} = \alpha^{|S|} > |G|$.

Proof: Since $m_{\alpha} \geq |G|$, it follows by Lemma 4(1) that U is closed under the action of G. But G is transitive on S, so $U = S \in \alpha M$ and $m_\alpha = \alpha^{|S|} \geq |G|$. If $\alpha^{S} > |G|$, similar considerations yield, in view of Lemma 4(2), that $I = S$, which implies that $\alpha M = \{S\}$ and $m_{\alpha} = \alpha^{|S|} > |G|$.

LEMMA 6: Let $(G, S, \alpha) \in \mathcal{T}$. Then the action of G on αM_* is transitive. In *particular, if* $A, B \in \alpha M_*$, then $|A| = |B|$.

Proof: Let $A, B \in \alpha M_*$. By Lemma 1 it suffices to prove that $B = A^g$ for some $g \in G$. Let $a \in A$ and $b \in B$. Since G is transitive on S, there exists $g \in G$ such that $b=a^g$. By Lemma 1, $A^g \in \alpha M_*$ and since $b \in B \cap A^g$, it follows by Lemma 2(3) that $B \cap A^g \in \alpha M$. But B and A^g are minimal in αM under inclusion, so $B = A^g$, as required.

We end this section with a result dealing with a finite simple permutation group, not necessarily transitive, acting on a nonempty finite set S . It turns out that in this case the results of Lemma 5 also hold. We still use the notation of Lemma 4.

LEMMA 7: Let G be a finite simple permutation group on a finite set S and suppose that $\alpha^{|S|} \ge |G|$. Then $S \in \alpha M$ and $m_\alpha = \alpha^{|S|} \ge |G|$. If $\alpha^{|S|} > |G|$, *then* $\alpha M = \{S\}$ *and* $m_{\alpha} = \alpha^{|S|} > |G|$ *.*

Proof: Since $m_{\alpha} \geq |G|$, it follows by Lemma 4(1) that $\alpha M^* = \{U\}$ and $C_G(U) \triangleleft G$. Since $U \neq \emptyset$, $C_G(U) \neq G$, and the simplicity of G forces $C_G(U) = 1$. Hence $\alpha^{|S|} \le m_\alpha = \alpha^{|U|}$, yielding $S = U \in \alpha M$ and $m_\alpha = \alpha^{|S|} \ge |G|$. In the case when $\alpha^{|S|} > |G|$, Lemma 4(2) similarly yields $I = U = S$, which implies $\alpha M = \{S\}$ and $m_{\alpha} = \alpha^{|S|} > |G|$.

III. Dominated stabilizers

In this section we define and investigate finite permutation groups with "dominated stabilizers". From these investigations the main results of this paper will emerge.

Definition 2: Let G be a finite permutation group of the nonempty finite set S. We say that G has **dominated stabilizers** on S if for each $A \subseteq S$, the following inequality holds:

$$
|C_G(A)| \leq |G|^{1-|A|/|S|}.
$$

If for each nonempty proper subset A of S we have $|C_G(A)| < |G|^{1-|A|/|S|}$, then we say that G has strictly dominated stabilizers on S .

Concerning G , S and α we shall also use the assumptions and the notation of Definition 1. We start with

PROPOSITION 8: *Let G be a finite permutation group of* the *nonempty finite set S and suppose that* $\alpha^{|S|} = |G|$. *Then*

- (1) G has dominated stabilizers on S if and only if $S \in \alpha M$;
- (2) G has *strictly dominated stabilizers on* S if and only if $\alpha M = \{S\}.$

Proof. If $S \in \alpha M$, then $m_{\alpha} = \alpha^{|S|}$, so for each $A \subseteq S$ we have $\alpha^{|A|}|C_G(A)| \leq$ $\alpha^{|S|}$. Hence

$$
|C_G(A)| \le \alpha^{|S|-|A|} = (|G|^{1/|S|})^{|S|-|A|} = |G|^{1-|A|/|S|},
$$

which implies that G has dominated stabilizers on S. If, moreover, $\alpha M = \{S\},\$ then for each nonempty proper subset A of S we have $|C_G(A)| < |G|^{1-|A|/|S|}$, implying that G has strictly dominated stabilizers on S.

Conversely, if G has dominated stabilizers on S, then for each $A \subseteq S$ we have

$$
|C_G(A)| \leq |G|^{1-|A|/|S|} = (|G|^{1/|S|})^{|S|-|A|} = \alpha^{|S|-|A|},
$$

whence $\alpha^{|A|}|C_G(A)| \leq \alpha^{|S|}$ and it follows that $S \in \alpha M$. Similarly, if G has strictly dominated stabilizers, then for each nonempty proper subset A of S we have $\alpha^{|A|}|C_G(A)| < \alpha^{|S|}$, which implies that $\alpha M = \{S\}.$

Our main result in this paper is

THEOREM 9: Let G be a finite permutation group on a finite set S. If $A \subseteq S$ *and G is either transitive on S or a simple group, then the following inequality holds:*

$$
(9-1) \t\t [G:C_G(A)] \geq |G|^{|A|/|S|}.
$$

Proof. Let $\alpha = |G|^{1/|S|}$. If G is transitive on S, then, by Lemma 5, $S \in \alpha M$ and by Proposition 8 $|C_G(A)| \leq |G|^{1-|A|/|S|}$, which implies the inequality (9-1).

Similarly, if G is a simple group, then, by Lemma 7, $S \in \alpha M$ and again Proposition 8 yields the required inequality.

We conclude this paper with the following result dealing with simple transitive permutation groups.

COROLLARY 10: *Let G be a finite simple transitive permutation group on a finite set S. Then G has strictly dominated stabilizers on S.*

Proof: Since G is simple, $|S| > 2$. By Theorem 9, G has dominated stabilizers on S. Let $\alpha = |G|^{1/|S|}$. Then by Lemma 5, $S \in \alpha M$ and by Lemma 6, $T =_{\text{def}} \alpha M_*$ consists of subsets of S of equal size. If T consists of sets of size 1, then $\{a\} \in T$ for some $a \in S$ and $m_{\alpha} = \alpha |C_G(a)| = \alpha^{|S|} = |G|$. Hence $|S| = [G : C_G(a)] = \alpha$ and $|G| = \alpha^{|S|} = |S|^{|S|}$, a contradiction, since $|G| \leq |S|!$. Thus T consists of sets of size 2 at least.

By Proposition 8(2) it suffices to show that $\alpha M = \{S\}$, or equivalently, that $S \in T$. If $|T| = 1$ and $A \in T$, then, by Lemma 1, A is closed under the action of G and it follows by the transitivity of G that $A = S \in T$, as required. So we may assume that $|T| > 1$.

We proceed by induction on S. If $|S| = 2$, then $S \in \alpha M$, and since αM contains no sets of size 1, as shown above, it follows that $\alpha M = \{S\}$, as required. So suppose that $|S| = k > 2$ and the corollary holds for all S with $|S| < k$. We may assume that $S \notin T$. By Lemma 1, $T = \{A^g | g \in G\}$ for some $A \subset S$ and we may assume that $1 < |A| < |S|$. Moreover, by Lemma 2(3), if $A, B \in T$ are distinct and $A \cap B \neq \emptyset$, then $A \cap B \in \alpha M$, which is a contradiction, since $T = \alpha M_*$. Since G is transitive on S, it follows that S is a disjoint union of elements of T. Hence $1 < |T| = |S|/|A| < |S|$. Consider the transitive action of G on T and let $N = C_G(T)$. Then $N \leq G$. If $N = G$, then it follows by the transitivity of G on S that $A = S \in T$, as required. So $N = 1$ and G is a transitive permutation group of T. Since $|T| < |S|$, it follows by induction that G has strictly dominated stabilizers on T. Thus, as $|T| > 1$, it follows that

$$
|C_G(A)| \le |N_G(A)| < |G|^{1-1/|T|} = |G|^{1-|A|/|S|},
$$

where $N_G(A)$ denotes the pointwise stabilizer of A in the action of G on T, which is equal to the stabilizer of A in the action of G on S. But $A, S \in \alpha M$, so $|C_G(A)| = |G|^{1-|A|/|S|}$, a contradiction. This completes the proof of the corollary.

Vol. 145, 2005A MEASURING ARGUMENT FOR FINITE PERMUTATION GROUPS339

References

[1] A. Chermak and A. Delgado, A measuring argument for finite groups, Proceedings of the American Mathematical Society 107 (1989), 907-914.